

**CONFLICT OF INTEREST (COI) CERTIFICATION FOR NON-NIH FEDERAL EMPLOYEES
AND NIH EMPLOYEES WHO DO NOT FILE FINANCIAL DISCLOSURE FORMS**

This form must be completed by all non-NIH federal employees and any NIH employee who does not file financial disclosure forms who is working on a covered research protocol¹ in either of the following roles: 1) investigator², or 2) an individual who performs the statistical analysis of primary endpoint data obtained from human subjects research, and whose role has the potential to bias the research results. For more information see *Policy 102 Investigator Conflict of Interest and Government Royalties*.

Name:	
Role on Study:	
NIH Institute/Center (IC):	
Name of PI:	
Title of Protocol:	

I certify that I have received and read the NIH ***Guide to Avoiding Financial and Non-Financial Conflicts or Perceived Conflicts of Interest in Human Subjects Research at the National Institutes of Health (NIH)*** and that I will comply with *Policy 102 Investigator Conflict of Interest and Government Royalties*. I certify I have no conflict of interest with this protocol. In the event that I become aware of any potential conflict of interest while working on this protocol, I will immediately notify the NIH PI and the IRB.

(Signature)

(Date)

¹ *Covered Research Protocol* – Covered research protocols (and covered substudies) include: (1) studies of investigational drugs and devices, (2) studies with a research question about a commercially available drug or device, and (3) studies involving collaborations with a substantially affected organization (SAO) or other for-profit entities when the entity is receiving data or specimens from the NIH for the purpose of developing a product. Most interventional protocols will be Covered Research Protocols unless the intervention does not involve the criteria listed above (e.g. a behavioral intervention might not meet the criteria for a covered research protocol).

² For purposes of this form, “Investigator” includes any individual who is listed on the IRB application as an Associate Investigator.